

Original article

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The structure of ESKAPE pathogens isolated from patients of the neonatal intensive care unit at the National Hospital of Pediatrics in Hanoi, the Socialist Republic of Vietnam

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Abstract

Introduction. The incidence of healthcare-associated infections is a major public health problem worldwide, affecting all countries regardless of their economic status. The main agents of these infections are pathogens belonging to the ESKAPE group.

The **aim** of the study was to explore the structure, molecular and antigenic characteristics of the ESKAPE pathogens isolated from oral and anal mucosa of patients of the neonatal intensive care unit (NICU), and to assess their etiological significance in occurrence of healthcare-associated infections.

Materials and methods. Samples from a total of 49 children were tested, including 40 newborns – patients of NICU at the National Hospital of Pediatrics in Hanoi. Collection and processing of biomaterial (oropharyngeal swabs, rectal swabs) and isolation of bacterial cultures were performed using conventional bacteriological methods. Mass spectrometry was used for identification of isolates. *Klebsiella pneumoniae* strains were analyzed using the whole-genome sequencing method.

Results. The group of gram-positive ESKAPE pathogens identified in oral mucosa was represented by isolates *Enterococcus faecium* and *Staphylococcus aureus*. The isolates of the family *Enterobacteriaceae* included *K. pneumoniae*, *Escherichia coli*, *Enterobacter cloacae*; the group of nonfermenting gram-negative bacteria was represented by *Pseudomonas aeruginosa*, *Acinetobacter baumannii*. The structure of ESKAPE pathogens persistent in anal mucosa was characterized by dominance of *Enterococcus* spp., *E. coli*, *K. pneumoniae* and *P. aeruginosa* bacteria. The whole-genome sequencing of *K. pneumoniae* isolates revealed 7 clusters and 8 sequence types. ST14 and ST1741 prevailed, accounting for 25%, respectively, of the total number of the studied strains. The molecular serotyping showed that by the O antigen, strains belonged mainly to serotypes O1v1, O1/ O2v2, O5; by the presence of the capsular antigen — to serotypes KL2, KL104, KL60.

Conclusion. The analysis of the structure of the ESKAPE pathogens isolated from the oral and anal mucosa of patients of NICU at the National Hospital of Pediatrics in Hanoi identified etiological significant agents of bacterial infections: *S. aureus*, *K. pneumoniae*, *E. coli*, *E. cloacae*, *P. aeruginosa*, *A. baumannii*. The molecular and genetic analysis of *K. pneumoniae* strains co-circulating in mucous membranes of several patients of the unit revealed their homology, thus confirming healthcare-associated contamination of children with nosocomial strains.

Keywords: ESKAPE pathogens, newborns, oral and anal mucosa, *Klebsiella pneumoniae*, sequence types, molecular serotyping

Ethics approval. The study was performed with the voluntary informed consent of the legal representatives of underage patients. The research protocol was approved by the Ethics Committee of the Tyumen Region Infection Pathology Research Institute (protocol No. 1, June 1, 2022).

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Структура ESKAPE-патогенов, изолированных от пациентов отделения реанимации и интенсивной терапии новорождённых Национального госпиталя педиатрии г. Ханой, Социалистическая Республика Вьетнам

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Аннотация

Введение. Заболеваемость инфекциями, связанными с оказанием медицинской помощи, является одной из глобальных мировых проблем на современном этапе развития здравоохранения и затрагивает все страны вне зависимости от их экономического статуса. Основными возбудителями этих инфекций являются патогены группы ESKAPE.

Цель исследования — изучить структуру, молекулярные и антигенные характеристики ESKAPE-патогенов, выделенных со слизистых зева и ануса пациентов отделения реанимации и интенсивной терапии новорождённых (ОРИТН), для установления их этиологического значения в возникновении инфекций, связанных с оказанием медицинской помощи.

Материалы и методы. Обследовано 49 детей, в том числе 40 новорождённых, пациентов ОРИТН Национального госпиталя педиатрии г. Ханой. Посев биоматериала (мазки со слизистых зева, ректальные мазки) и выделение бактериальных культур осуществлялись классическим бактериологическим методом. Идентификация изолятов проведена методом масс-спектрометрии. Штаммы *Klebsiella pneumoniae* изучены методом полногеномного секвенирования.

Результаты. Группа грамположительных ESKAPE-патогенов, идентифицированных со слизистой зева, представлена изолятами *Enterococcus faecium* и *Staphylococcus aureus*. Среди изолятов семейства *Enterobacteriaceae* выделены *K. pneumoniae*, *Escherichia coli*, *Enterobacter cloacae*; в группе неферментирующих грамотрицательных бактерий — *Pseudomonas aeruginosa*, *Acinetobacter baumannii*. Структура ESKAPE-патогенов, персистирующих на слизистой ануса, характеризовалась преобладанием бактерий *Enterococcus* spp., *E. coli*, *K. pneumoniae* и *P. aeruginosa*. Результаты полногеномного секвенирования изолятов *K. pneumoniae* выявили 7 кластеров и 8 сиквенса-типов. Преимущественно определены ST14 и ST1741 — по 25% соответственно от количества исследованных штаммов. Молекулярное серотипирование установило, что по O-антигену штаммы отнесены в основном к серотипам O1v1, O1/O2v2, O5; по наличию капсульного антигена — к серотипам KL2, KL104, KL60.

Заключение. Исследование структуры ESKAPE-патогенов, изолированных со слизистых зева и ануса пациентов ОРИТН Национального госпиталя педиатрии г. Ханой, выявили этиологически значимых возбудителей бактериальных инфекций: *S. aureus*, *K. pneumoniae*, *E. coli*, *E. cloacae*, *P. aeruginosa*, *A. baumannii*. Проведение молекулярно-генетических исследований штаммов *K. pneumoniae*, циркулирующих одновременно на слизистых нескольких пациентов отделения, позволило установить их гомологию, что указывает на контаминацию детей госпитальными штаммами при оказании медицинской помощи.

Ключевые слова: ESKAPE-патогены, новорождённые, слизистые зева и ануса, *Klebsiella pneumoniae*, сиквенса-типы, молекулярное серотипирование

Этическое утверждение. Исследование выполнено при добровольном информированном согласии законных представителей несовершеннолетних пациентов. Протокол исследования одобрен Этическим комитетом Тюменского научно-исследовательского института краевой инфекционной патологии Роспотребнадзора (протокол № 1 от 01.06.2022).

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Конфликт интересов. Авторы декларируют отсутствие явных и потенциальных конфликтов интересов, связанных с публикацией настоящей статьи.

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Introduction

Neonatal bacterial infections are associated with multiple risk factors. The diversity of clinical forms of childhood infections is explained, first of all, by immaturity of natural barrier defenses, especially, when antimicrobial therapy is involved [1]. The level of infectious morbidity is determined mainly by the frequency of damage to the skin, eyes, navel and gastrointestinal tract. Therefore, monitoring of circulating ESKAPE pathogens is of critical importance at maternity care centers, as the risk of bacterial diseases is increased due to the physiological status of newborns [2, 3].

Widespread occurrence of healthcare-associated infections (HAIs) in any healthcare facility, most particularly, in maternity and pediatric hospitals causes significant damage to the health of newborns and general population, economy and demographic situation in various countries [4, 5]. Frequently, the numbers of cases of neonatal hospital-acquired infections, which are reported in Russian and foreign publications, cannot be compared due to the absence of common approaches to the system of recording and reporting diseases [6, 7].

The spread of multidrug-resistant nosocomial pathogens causing infectious complications in children raises concerns among clinicians worldwide. In recent years, the ESKAPE group has been highlighted among the etiologically significant bacteria, including *Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa* and *Enterobacter* spp. The dominant role among these agents of hospital-acquired infections belongs to the pathogens that are characterized by diversity of antimicrobial resistance mechanisms. The World Health Organization included ESKAPE pathogens in the group of microorganisms of the highest priority for development of new antibiotics or new treatment methods [8].

The burden of hospital-acquired infections, especially among children, in low and middle-income countries is unknown, mostly due to a lack of reported data. The European multicenter study of cases with hospital-acquired infections in pediatric hospitals showed that their prevalence ranged from 2.5% in general care units to 23.6% in intensive care units. High rates of these infections were also recorded in neonatal intensive care units, where their prevalence was 3–20 times as high in resource-limited settings compared to high-income countries.

Vietnam is a low-income country with fast growing public and private health sectors. Because of the low levels of the gross national income and nation-

al health expenditure per capita, personal health care expenditures account for around 80%. Antibiotics are widely used by the population and are most commonly purchased in private pharmacies and clinics. The healthcare sector is overloaded with the relatively low number of healthcare personnel per capita (7 doctors per 10,000 population), shortage of inpatient beds and hospital overcrowding reaching 170%. Vietnam has no national system of epidemiological surveillance of HAIs; the data on cases in intensive care units are limited. Considering high infection rates, Vietnam becomes a "hotspot" for the emergence of antimicrobial resistance with the rates among the highest in Asia [9–11].

The earlier performed studies of hospital-acquired infections in intensive care units at 3 Vietnamese pediatric hospitals showed that their prevalence was 33% [9]. *K. pneumoniae* is among the leading bacteria that cause nosocomial infections and can develop antimicrobial resistance [11–13]. The results of molecular and genetic studies of strains of bacteria co-circulating in a particular unit of a specific hospital show their high heterogeneity. Priority attention should be given to measures preventing the emergence of highly virulent and resistant strains and stopping their spread [14].

The study of the diversity of ESKAPE pathogens contaminating newborns, including premature infants receiving treatment in neonatal intensive care units (NICU) is a top-priority task of healthcare organizations both in Russia and other countries; its achievement will help minimize the risks associated with adverse epidemiological situations.

The **aim** of the study was to explore the structure, molecular and antigenic characteristics of ESKAPE pathogens isolated from oral and anal mucous membranes of NICU patients, and to assess their etiological significance in occurrence of HAIs.

The studies were conducted in accordance with R&D of the Tyumen Research Institute for Regional Infectious Diseases Pathology of Rospotrebnadzor in the pursuance of the Action Plan for Provision of Scientific, Methodological, Material and Technical Assistance to the Socialist Republic of Vietnam, addressing the threat of infectious diseases and minimization of risks associated with health-hazardous chemical substances in 2020–2022.¹

Materials and methods

The study included 49 children aged 0–60 days, in-

¹ Executive Order No. 1536-p of the Government of the Russian Federation, 13/7/2019.

cluding 40 newborns (the median was 13 days; Q_1 – Q_3 : 7–23), who received treatment in NICU at the National Hospital of Pediatrics in Hanoi. Biomaterial samples were collected simultaneously from all children, regardless of their age, type of delivery, degree of prematurity, birth weight, type of feeding, length of stay in the unit, antibiotic treatment (the random sampling method). The study was performed with the voluntary informed consent of representatives of the patients. The study protocol was approved by Ethics Committee of the Tyumen Research Institute for Regional Infectious Diseases Pathology of Rospotrebnadzor (minutes No. 1 of 01.06.2022).

The bacteriological study was performed using 98 samples of biomaterial collected from oral and anal mucous membranes of the children; microbial growth was recorded in 96 specimens. A total of 101 isolates were isolated from the oral mucosa of the children, and 137 isolates were isolated from the anal mucosa. The *K. pneumoniae* strains ($n = 20$) isolated from the above loci were studied using whole-genome sequencing.

Microbiological studies were conducted using the conventional bacteriological method. The species identification of bacteria was confirmed by matrix-assisted laser desorption ionization mass spectrometry using the Maldi BioTyper 3.0 software. The identification level above 2.0 was indicative of a high degree of confidence.

The obtained reads for each strain were assembled into contigs using the Unicycler v.0.4.7 program. Samples with the average genome coverage above 200 indicated that the amount of data was sufficient. The results of whole-genome sequencing were analyzed using the Kraken Metagenomics version 2 software for analysis of metagenomic samples (the classifier of reads — short nucleotide sequences). Phylogenetic studies were performed using the Wombac 2.0 program that made it possible to find core single nucleotide polymorphisms (SNPs) in nucleotide sequences and to perform alignment of these polymorphisms. The Kaptive web-based tool was used for detection of O antigens and capsular antigens of *K. pneumoniae* strains. Sequence types were identified using MLST 2.0² and Lasergene software.

Microsoft Office Excel 2016 spreadsheets were used for collecting, correcting, systematizing of the input information and for visualization of the obtained results. Statistical methods and the SPSS v. 22 software were used for analysis of the received data. Nominal data were described using absolute values and percentages, including 95% confidence intervals (CI) — the Clopper–Pearson method.

Results

The results of the bacteriological studies of the children's oral and anal mucosa show that the ob-

tained isolates are represented by gram-positive and gram-negative bacteria as well as by the minor quantity of fungi of the genus *Candida*. The group of gram-positive bacteria (27.3% [95% CI, 21.7–33.4]) was comprised by bacteria of the genera *Staphylococcus* (*S. aureus* and other species), *Streptococcus* (primarily *S. vestibularis*, *S. salivarius*) and *Enterococcus faecalis*. Gram-negative isolates are represented by *Enterobacteriales* bacteria (41.6% [95% CI, 35.3–48.1]) and nonfermenting gram-negative bacteria (22.7% [95% CI, 17.5–28.5]). Among representatives of *Enterobacteriales*, we identified bacteria of the following genera: *Escherichia*, *Klebsiella*, *Serratia*, *Enterobacter*; the cluster of nonfermenting gram-negative bacteria was comprised by *Acinetobacter* spp., *Pseudomonas* spp., *Stenotrophomonas maltophilia* and *Elizabethkingia meningoseptica*. The isolates from the studied loci demonstrated the dominance of *Enterobacteriales* bacteria. Fungi of the genus *Candida* accounted for 8.4% [95% CI, 5.2–12.7].

The analysis of the quantity of the isolates from the specified loci showed insignificant dominance of *Enterobacteriales* bacteria in anal mucosa. In the children's oral mucosa, nonfermenting gram-negative bacteria were detected in 35.6% of cases [95% CI, 26.4–45.8] compared to their detection rate for anal mucosa — 13.1% [95% CI, 8.0–19.9] (**Fig. 1**). Groups of gram-positive bacteria isolated from oral and anal mucosa were detected almost in equal percentages.

Among the total number of identified isolates from the specified loci, 51.7% [95% CI, 45.1–58.2] of strains belonged to the ESKAPE group of pathogens: *S. aureus*, *K. pneumoniae*, *E. coli*, *E. cloacae*, *P. aeruginosa*, *A. baumannii*. The comparative analysis of the structure of ESKAPE bacteria identified from the biomaterial samples collected from oral and anal mucosa of the children is presented in **Fig. 2**.

The analysis of isolates of the ESKAPE group, which were obtained from the oral mucosa, showed that bacteria of the family *Enterobacteriaceae* and nonfermenting gram-negative bacteria prevailed in the above locus. At the same time, *S. viridans* and coagulase-negative *Staphylococcus* spp., which represent a resident microbiota of the oral cavity, were identified in oral mucous membranes of the examined children.

The bacteriological analysis of the samples of biological materials collected from the patients showed that *K. pneumoniae* bacteria prevailed in microbiota of the oral and rectal mucosa in 51.9% of cases. The results of whole-genome sequencing of *K. pneumoniae* strains isolated from the oral mucosa ($n = 9$) and the rectal mucosa ($n = 11$) show that the selected strains were divided into 7 genetic clusters. Cluster I included *K. pneumoniae* strains 39, 51, 57, 85 (isolated from the oral mucosa) and strains 42, 56, 96 (from the rectal mucosa). The structural analysis of core SNP genomes of *K. pneumoniae* strains within cluster I showed that the

² Multi-Locus Sequence Typing.
URL: <https://cge.cbs.dtu.dk/services/MLST>

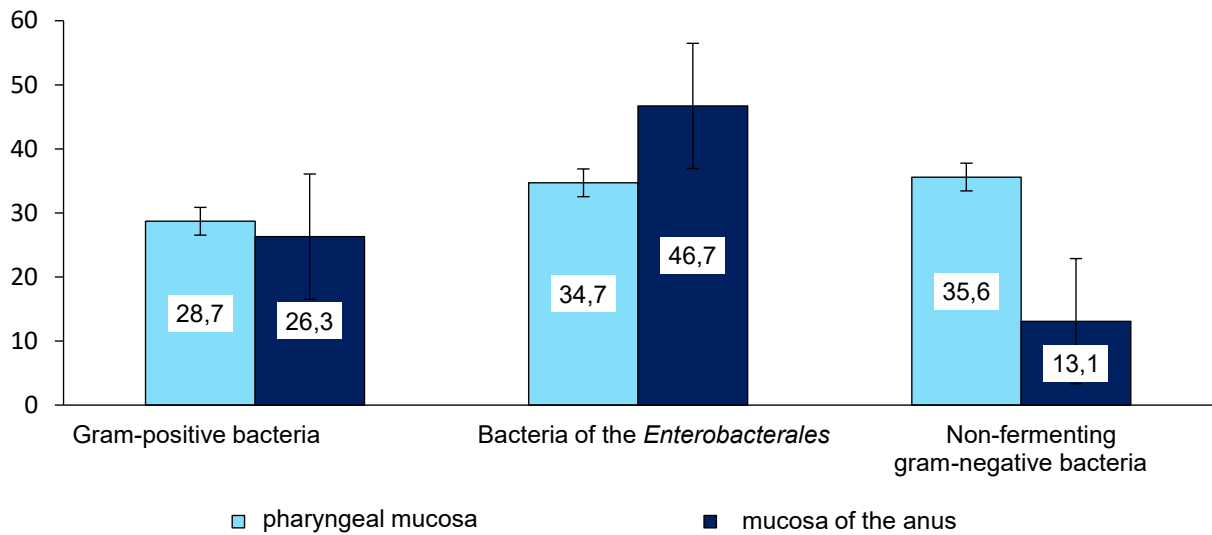


Fig. 1. The detection frequency of isolates of the main bacteria groups in oral and anal mucosa of the children, %.

cluster is divided into two groups with genomes differing from each other by a little over 10,000 SNP. The first group is represented by strains 39, 42, 51, 56, 57; the second group — by strains 85, 96. The results of pairwise comparison of contig assemblies are shown in **Table 1**. The analysis of core SNPs in the first group of strains showed that they differed not more than by 55, thus confirming the high homology of these isolates.

Cluster II was formed by strains 91, 49 (from the oral mucosa) and strains 92, 50, 66 (from the rectal mucosa); cluster III — by strains 53 (from the oral mucosa) and strains 54, 68 (from the rectal mucosa). Clusters IV, V, VI, and VII are represented by strains 74 and 82 — from the rectal mucosa and strains 59, 31 — from the oral mucosa. The molecular serotyping by O and

capsular antigens showed that each cluster of *K. pneumoniae* strains could be assigned to a specific serotype (**Table 2**). By the O antigen, all strains of clusters I and VII are assigned to serotype O1v1. Strains of the second cluster had some differences by the O antigen and were assigned to O1/O2v2 and O1v2, including the strain of cluster V. Clusters III and IV were represented by strains containing the O5 antigen. The strain with the O3b antigen was assigned to cluster VI.

The analysis of the capsular antigen (KL) showed that strains of all clusters were homogenous, except for cluster I, in which 5 *K. pneumoniae* strains belonged to serotype KL2 and 2 strains belonged to KL112.

The multilocus sequence typing revealed that strains of a specific cluster belonged to the same se-

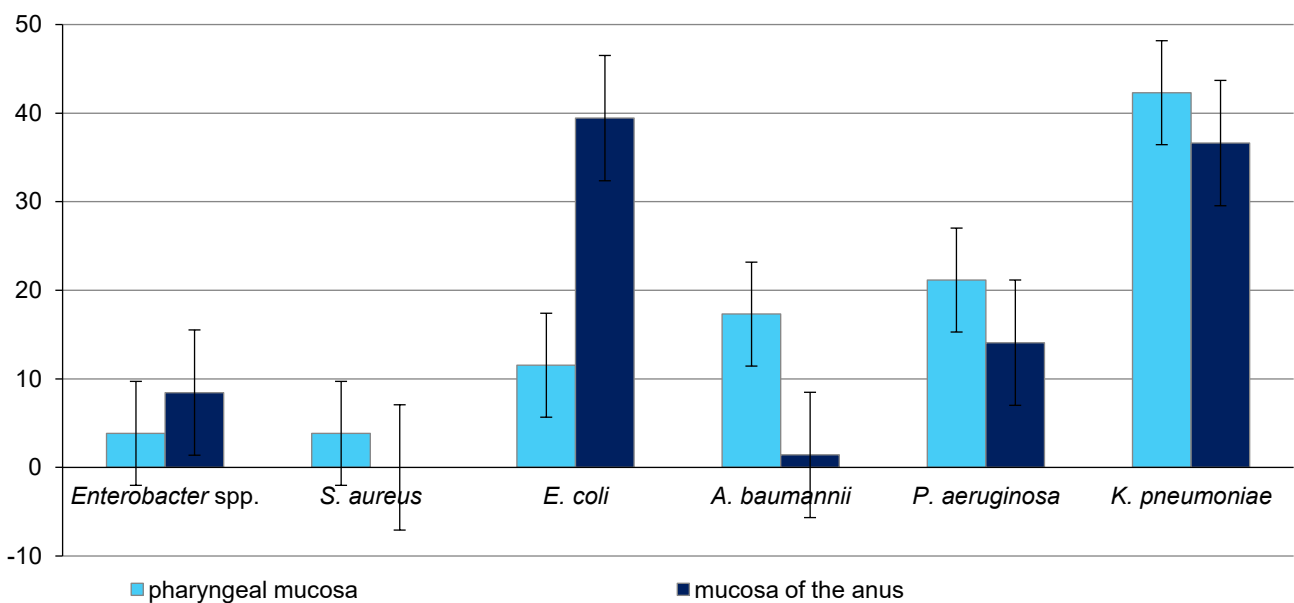


Fig. 2. Comparative analysis of ESKAPE pathogens isolated from oral and anal mucosa of the children, %.

Table 1. Results of comparison of contig assemblies of *K. pneumoniae* strains belonging to cluster I

SNP-dists 0.6.3	Strain 85	Strain 96	Strain 51	Strain 56	Strain 57	Strain 39
<i>K. pneumoniae</i> 96	19					
<i>K. pneumoniae</i> 51	10987	10987				
<i>K. pneumoniae</i> 56	10997	10993	52			
<i>K. pneumoniae</i> 57	10980	10980	17	55		
<i>K. pneumoniae</i> 39	10986	10984	15	55	16	
<i>K. pneumoniae</i> 42	10986	10986	11	51	8	10

quence type (ST), except for cluster I that included ST14 and ST15. Regarding the homology of strains by clusters, it was found that genotypes in all clusters were identical by loci *tonB*, *rpoB*, *phoE*, *pgi*, *mdh*, *gapA*; differences were recorded only in cluster I, locus *infB* (Table 3).

Discussion

The examined children were patients in the intensive care unit of a large specialized pediatric center and most of them were premature infants. The degree of prematurity of newborns depended on perinatal risk factors: type of delivery, gestational age, birth weight, asphyxia, respiratory distress syndrome or congenital abnormalities. Although the above data were not provided for the analysis, they can contribute to predisposition to contamination of children's mucous mem-

branes with ESKAPE pathogens circulating in the unit and to development of bacterial diseases.

The studies of oral and rectal mucous membranes of NICU patients at the National Hospital of Pediatrics in Hanoi demonstrated a great diversity of circulating bacteria belonging to the ESKAPE group and being etiologically significant agents of bacterial infections, including HAIs. Note that *K. pneumoniae* and *P. aeruginosa* isolates from rectal mucosa belong to highly dangerous pathogens and are responsible for most of the hospital-acquired infections in immunocompromised patients [15, 16]. At the same time, *Enterococcus* spp. and *E. coli* bacteria are important components of gut microbiota [13, 17].

Some publications addressing cases of HAIs in intensive care units at Vietnamese pediatric hospitals state that *K. pneumoniae* bacteria are the most frequent

Table 2. Results of molecular serotyping of *K. pneumoniae* strains

Name of the strain	KL-antigen	O-antigen	Cluster
<i>K. pneumoniae</i> 39	KL2	O1M	
<i>K. pneumoniae</i> 42	KL2	O1M	
<i>K. pneumoniae</i> 51	KL2	O1M	
<i>K. pneumoniae</i> 56	KL2	O1M	I
<i>K. pneumoniae</i> 57	KL2	O1M	
<i>K. pneumoniae</i> 85	KL112	O1M	
<i>K. pneumoniae</i> 96	KL112	O1M	
<i>K. pneumoniae</i> 92	KL104	O1/O2v2	
<i>K. pneumoniae</i> 50	KL104	O1/O2v2	
<i>K. pneumoniae</i> 66	KL104	O1v2	II
<i>K. pneumoniae</i> 49	KL104	O1/O2v2	
<i>K. pneumoniae</i> 91	KL104	O1/O2v2	
<i>K. pneumoniae</i> 68	KL60	O5	
<i>K. pneumoniae</i> 54	KL60	O5	III
<i>K. pneumoniae</i> 53	KL60	O5	
<i>K. pneumoniae</i> 74	KL114	O5	IV
<i>K. pneumoniae</i> 59	KL62	O1v2	V
<i>K. pneumoniae</i> 82	KL128	O3b	VI
<i>K. pneumoniae</i> 31	KL62	O1M	VII
<i>K. pneumoniae</i> 95	–	–	–

Note. Strain 95 — non-serotyped by the O antigen and KL antigen.

lation of pathogens belonging to the ESKAPE group is required not only when the occurrence of bacterial infection has been reported, but also routinely [26]. The microbiological monitoring of ESKAPE patho-

gens, which is based on molecular and genetic studies, will be instrumental in detection of HAI pathogens and forecasting of epidemiological situations when providing care to newborns.

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